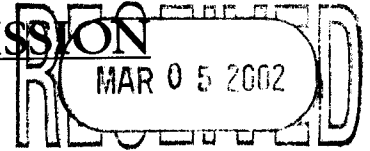


**ARIZONA CORPORATION COMMISSION**  
**UTILITIES DIVISION**

ARIZONA CORPORATION  
COMMISSION



Director of Utilities

**ANNUAL REPORT MAILING LABEL – MAKE CHANGES AS NECESSARY**

H

W-02054A  
HO-TYE WATER COMPANY  
580 W. WICKENBURG WAY  
WICKENBURG AZ 85390-

**ANNUAL REPORT**

**FOR YEAR ENDING**

12	31	2001
----	----	------

FOR COMMISSION USE

ANN04	01
-------	----

Processed by:

3/5/02 cm

SCANNED

## COMPANY INFORMATION

**Company Name (Business Name)** HO-TYE WATER COMPANY

**Mailing Address** 580 W. Wickenburg Way  
(Street)

Wickenburg  
(City)

Arizona  
(State)

85390  
(Zip)

928-684-7868

Telephone No. (Include Area Code)

602-256-6785

Fax No. (Include Area Code)

Pager/Cell No. (Include Area Code)

**Email Address** \_\_\_\_\_

**Local Office Mailing Address** SAME AS ABOVE  
(Street)

(City)

(State)

(Zip)

Local Office Telephone No. (Include Area Code)

Fax No. (Include Area Code)

Pager/Cell No. (Include Area Code)

**Email Address** \_\_\_\_\_

## MANAGEMENT INFORMATION

**Management Contact:** Thom Long General Manager  
(Name) (Title)

580 W. Wickenburg Way  
(Street)

Wickenburg  
(City)

Arizona  
(State)

85390  
(Zip)

928-684-7868

Telephone No. (Include Area Code)

602-256-6785

Fax No. (Include Area Code)

Pager/Cell No. (Include Area Code)

**Email Address** \_\_\_\_\_

**On Site Manager:** Eloy Armendariz  
(Name)

Wikieup  
(Street)

(City)

Arizona  
(State)

85360  
(Zip)

928-785-2300

Telephone No. (Include Area Code)

Fax No. (Include Area Code)

Pager/Cell No. (Include Area Code)

**Email Address** \_\_\_\_\_

**Statutory Agent:** Richard Walraven

(Name)

32516 N. 68th Place

(Street)

Scottsdale

(City)

AZ

(State)

85262

(Zip)

480-488-8518

Telephone No. (Include Area Code)

480-488-5522

Fax No. (Include Area Code)

Pager/Cell No. (Include Area Code)

**Attorney:** SAME AS ABOVE

(Name)

(Street)

(City)

(State)

(Zip)

Telephone No. (Include Area Code)

Fax No. (Include Area Code)

Pager/Cell No. (Include Area Code)

### OWNERSHIP INFORMATION

Check the following box that applies to your company:

☐ Sole Proprietor (S)

☒ C Corporation (C) (Other than Association/Co-op)

☐ Partnership (P)

☐ Subchapter S Corporation (Z)

☐ Bankruptcy (B)

☐ Association/Co op (A)

☐ Receivership (R)

☐ Limited Liability Company

☐ Other (Describe) \_\_\_\_\_

### COUNTIES SERVED

Check the box below for the county/ies in which you are certificated to provide service:

☐ APACHE

☐ COCHISE

☐ COCONINO

☐ GILA

☐ GRAHAM

☐ GREENLEE

☐ LA PAZ

☐ MARICOPA

☒ MOHAVE

☐ NAVAJO

☐ PIMA

☐ PINAL

☐ SANTA CRUZ

☐ YAVAPAI

☐ YUMA

☐ STATEWIDE

COMPANY NAME

HO-TYE WATER COMPANY

**UTILITY PLANT IN SERVICE**

Acct. No.	DESCRIPTION	Original Cost (OC)	Accumulated Depreciation (AD)	O.C.L.D. (OC less AD)
301	Organization			
302	Franchises			
303	Land and Land Rights	4,000		4,000
304	Structures and Improvements	2,606	1,174	1,432
307	Wells and Springs	8,480	3,816	4,664
311	Pumping Equipment	8,177	2,875	5,302
320	Water Treatment Equipment			
330	Distribution Reservoirs and Standpipes	9,123	4,104	5,019
331	Transmission and Distribution Mains			
333	Services			
334	Meters and Meter Installations	880	396	484
335	Hydrants			
336	Backflow Prevention Devices			
339	Other Plant and Misc. Equipment			
340	Office Furniture and Equipment			
341	Transportation Equipment			
343	Tools, Shop and Garage Equipment			
344	Laboratory Equipment			
345	Power Operated Equipment			
346	Communication Equipment			
347	Miscellaneous Equipment			
348	Other Tangible Plant			
	<b>TOTALS</b>	<b>33,266</b>	<b>12,365</b>	<b>20,901</b>

This amount goes on the Balance Sheet Acct. No. 108

COMPANY NAME

HO-TYE WATER COMPANY

**CALCULATION OF DEPRECIATION EXPENSE FOR CURRENT YEAR**

Acct. No.	DESCRIPTION	Original Cost (1)	Depreciation Percentage (2)	Depreciation Expense (1x2)
301	Organization			
302	Franchises			
303	Land and Land Rights	4,000	10%	
304	Structures and Improvements	2,606	10%	261
307	Wells and Springs	8,480	10%	848
311	Pumping Equipment	8,177	10% on 6319 1.67% " 1858	663
320	Water Treatment Equipment			
330	Distribution Reservoirs and Standpipes	9,123	10%	912
331	Transmission and Distribution Mains			
333	Services			
334	Meters and Meter Installations	880	10%	88
335	Hydrants			
336	Backflow Prevention Devices			
339	Other Plant and Misc. Equipment			
340	Office Furniture and Equipment			
341	Transportation Equipment			
343	Tools, Shop and Garage Equipment			
344	Laboratory Equipment			
345	Power Operated Equipment			
346	Communication Equipment			
347	Miscellaneous Equipment			
348	Other Tangible Plant			
	<b>TOTALS</b>	<b>31,408</b>		<b>2,772</b>

This amount goes on Comparative Statement of Income and Expense Acct. No. 403.

**BALANCE SHEET**

Acct. No.		BALANCE AT BEGINNING OF YEAR	BALANCE AT END OF YEAR
	<b>ASSETS</b>		
	<b>CURRENT AND ACCRUED ASSETS</b>		
131	Cash	\$	\$
134	Working Funds		
135	Temporary Cash Investments		
141	Customer Accounts Receivable		
146	Notes/Receivables from Associated Companies		
151	Plant Material and Supplies		
162	Prepayments		
174	Miscellaneous Current and Accrued Assets		
	<b>TOTAL CURRENT AND ACCRUED ASSETS</b>	\$      0	\$      0
	<b>FIXED ASSETS</b>		
101	Utility Plant in Service	\$    31,408	\$    33,266
103	Property Held for Future Use		
105	Construction Work in Progress		
108	Accumulated Depreciation – Utility Plant	9,593	12,365
121	Non-Utility Property		
122	Accumulated Depreciation – Non Utility		
	<b>TOTAL FIXED ASSETS</b>	\$    21,815	\$    20,901
	<b>TOTAL ASSETS</b>	\$    21,815	\$    20,901

**NOTE:** The Assets on this page should be equal to **Total Liabilities and Capital** on the following page.

### BALANCE SHEET (CONTINUED)

Acct. No.		BALANCE AT BEGINNING OF YEAR	BALANCE AT END OF YEAR
	<b>LIABILITIES</b>		
	<b>CURRENT LIABILITES</b>		
231	Accounts Payable	\$ 7,985	\$ 15,264
232	Notes Payable (Current Portion)		
234	Notes/Accounts Payable to Associated Companies		
235	Customer Deposits		
236	Accrued Taxes		
237	Accrued Interest		
241	Miscellaneous Current and Accrued Liabilities		
	<b>TOTAL CURRENT LIABILITIES</b>	\$ 7,985	\$ 15,264
	<b>LONG-TERM DEBT (Over 12 Months)</b>		
224	Long-Term Notes and Bonds	\$	\$
	<b>DEFERRED CREDITS</b>		
251	Unamortized Premium on Debt	\$	\$
252	Advances in Aid of Construction		
255	Accumulated Deferred Investment Tax Credits		
271	Contributions in Aid of Construction		
272	Less: Amortization of Contributions		
281	Accumulated Deferred Income Tax		
	<b>TOTAL DEFERRED CREDITS</b>	\$	\$
	<b>TOTAL LIABILITIES</b>	\$ 7,985	\$ 15,264
	<b>CAPITAL ACCOUNTS</b>		
201	Common Stock Issued	\$	\$
211	Paid in Capital in Excess of Par Value		
215	Retained Earnings	<17,578 >	< 25,771>
218	Proprietary Capital (Sole Props and Partnerships)	31,408	31,408
	<b>TOTAL CAPITAL</b>	\$ 13,830	\$ 5,637
	<b>TOTAL LIABILITIES AND CAPITAL</b>	\$ 21,815	\$ 20,901

## COMPARATIVE STATEMENT OF INCOME AND EXPENSE

Acct. No.	OPERATING REVENUES	PRIOR YEAR	CURRENT YEAR
461	Metered Water Revenue	\$ 1117	\$ 1168
460	Unmetered Water Revenue		
474	Other Water Revenues		
	<b>TOTAL REVENUES</b>	\$ 1117	\$ 1168
	<b>OPERATING EXPENSES</b>		
601	Salaries and Wages	\$	\$
610	Purchased Water		
615	Purchased Power	865	585
618	Chemicals		
620	Repairs and Maintenance		294
621	Office Supplies and Expense	57	58
630	Outside Services		1302
635	Water Testing	2920	2155
641	Rents		
650	Transportation Expenses		
657	Insurance – General Liability	256	826
659	Insurance - Health and Life		
666	Regulatory Commission Expense – Rate Case		
675	Miscellaneous Expense		
403	Depreciation Expense	2741	2772
408	Taxes Other Than Income		
408.11	Property Taxes	419	1369
409	Income Tax		
	<b>TOTAL OPERATING EXPENSES</b>	\$ 7258	\$ 9361
	<b>OTHER INCOME/EXPENSE</b>		
419	Interest and Dividend Income	\$	\$
421	Non-Utility Income		
426	Miscellaneous Non-Utility Expenses		
427	Interest Expense		
	<b>TOTAL OTHER INCOME/EXP</b>	\$	\$
	<b>NET INCOME/(LOSS)</b>	\$ <6141>	\$ <8193>



**COMPANY NAME**

HO-TYE WATER COMPANY

**SUPPLEMENTAL FINANCIAL DATA****Long-Term Debt**

	<b>LOAN #1</b>	<b>LOAN #2</b>	<b>LOAN #3</b>	<b>LOAN #4</b>
Date Issued				
Source of Loan				
ACC Decision No.				
Reason for Loan				
Dollar Amount Issued	\$	\$	\$	\$
Amount Outstanding	\$	\$	\$	\$
Date of Maturity				
Interest Rate				
Current Year Interest	\$	\$	\$	\$
Current Year Principle	\$	\$	\$	\$

Meter Deposit Balance at Test Year End

\$ NONE

Meter Deposits Refunded During the Test Year

\$ NONE

COMPANY NAME

HO-TYE WATER COMPANY

**WATER COMPANY PLANT DESCRIPTION****WELLS**

ADWR ID Number	Pump Horsepower	Pump Yield (Gpm)	Casing Size (inches)	Meter Size (inches)
55-610869	1-1½	35	12"	½"

**OTHER WATER SOURCES**

Name or Description	Capacity (gpm)	Gallons Purchased or Obtained (in thousands)

TOTAL GALLONS PUMPED (NOT SOLD) THIS YEAR (thous.) = 4945

BOOSTER PUMPS		FIRE HYDRANTS	
Horsepower	Quantity	Quantity Standard	Quantity Other

STORAGE TANKS		PRESSURE TANKS	
Capacity	Quantity	Capacity	Quantity

## STATISTICAL INFORMATION

Total number of customers	FOUR	
Total number of gallons sold	11811	gallons

COMPANY NAME HO-TYE WATER COMPANY YEAR ENDING 12/31/2001

**INCOME TAXES**

For this reporting period, provide the following:

Federal Taxable Income Reported Ø  
Estimated or Actual Federal Tax Liability Ø

State Taxable Income Reported Ø  
Estimated or Actual State Tax Liability Ø

Amount of Grossed-Up Contributions/Advances:

Amount of Contributions/Advances Ø  
Amount of Gross-Up Tax Collected Ø  
Total Grossed-Up Contributions/Advances Ø

Decision No. 55774 states, in part, that the utility will refund any excess gross-up funds collected at the close of the tax year when tax returns are completed. Pursuant to this Decision, if gross-up tax refunds are due to any Payer or if any gross-up tax refunds have already been made, attach the following information by Payer: name and amount of contribution/advance, the amount of gross-up tax collected, the amount of refund due to each Payer, and the date the Utility expects to make or has made the refund to the Payer.

**CERTIFICATION**

The undersigned hereby certifies that the Utility has refunded to Payers all gross-up tax refunds reported in the prior year's annual report. This certification is to be signed by the President or Chief Executive Officer, if a corporation; the managing general partner, if a partnership; the managing member, if a limited liability company or the sole proprietor, if a sole proprietorship.

  
**SIGNATURE**

February 27, 2002

**DATE**

Jack D. Lowe  
**PRINTED NAME**

Owner  
**TITLE**

COMPANY NAME HO-TYE WATER COMPANY YEAR ENDING 12/31/2001

**WATER AND SEWER  
UTILITIES ONLY**

**PROPERTY TAXES**

Indicate the amount of actual property taxes paid during this reporting period (Calendar Year 2001)

\$ 1,368.60

Attach to this annual report proof (e.g. property tax bills stamped "paid in full" or copies of cancelled Checks for property tax payments) of any and all property taxes paid during the calendar year.

If no property taxes paid, explain reasons below:

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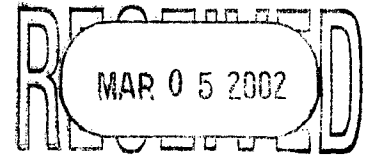
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# VERIFICATION AND SWORN STATEMENT Intrastate Revenues Only

**VERIFICATION**STATE OF ARIZONA

I, THE UNDERSIGNED

OF THE

COUNTY OF (COUNTY NAME) <b>MARICOPA</b>	Director of Utilities
NAME (OWNER OR OFFICIAL) TITLE <b>JACK D. LOWE, PRESIDENT/OWNER</b>	
COMPANY NAME <b>HO-TYE WATER COMPANY</b>	

**DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION****FOR THE YEAR ENDING**

MONTH <b>12</b>	DAY <b>31</b>	YEAR <b>2001</b>
--------------------	------------------	---------------------

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

**SWORN STATEMENT**

IN ACCORDANCE WITH THE REQUIREMENT OF TITLE 40, ARTICLE 8, SECTION 40-401, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS DURING CALENDAR YEAR 2001 WAS:

Arizona IntraState Gross Operating Revenues Only (\$)
---

\$ <u>1,168.00</u>
--------------------

(THE AMOUNT IN BOX ABOVE  
INCLUDES \$ 82.00  
IN SALES TAXES BILLED, OR COLLECTED

**\*\*REVENUE REPORTED ON THIS PAGE MUST INCLUDE SALES TAXES BILLED OR COLLECTED. IF FOR ANY OTHER REASON, THE REVENUE REPORTED ABOVE DOES NOT AGREE WITH TOTAL OPERATING REVENUES ELSEWHERE REPORTED, ATTACH THOSE STATEMENTS THAT RECONCILE THE DIFFERENCE. (EXPLAIN IN DETAIL)**

SIGNATURE OF OWNER OR OFFICIAL  
928-684-7868  
 TELEPHONE NUMBER

SUBSCRIBED AND SWORN TO BEFORE ME

A NOTARY PUBLIC IN AND FOR THE COUNTY OF

THIS 27th DAY OF

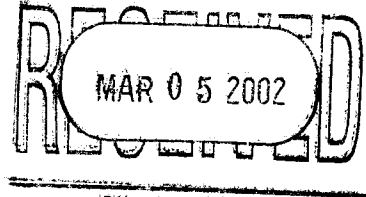
COUNTY NAME <b>MARICOPA</b>	
MONTH <b>FEBRUARY</b>	<b>20 02</b>

MY COMMISSION EXPIRES **CHARLENE SWIFT**  
 Notary Public - State of Arizona  
**MARICOPA COUNTY**  
 My Comm. Expires Aug. 13, 2004

SIGNATURE OF NOTARY PUBLIC

**VERIFICATION  
AND  
SWORN STATEMENT  
RESIDENTIAL REVENUE  
INTRASTATE REVENUES ONLY**

ARIZONA CORPORATION  
COMMISSION



**VERIFICATION**

**STATE OF ARIZONA**

**I, THE UNDERSIGNED**

**OF THE**

(COUNTY NAME) MARICOPA	
NAME (OWNER OR OFFICIAL) JACK D. LOWE	TITLE PRESIDENT/OWNER
COMPANY NAME HO-TYE WATER COMPANY	

**DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION**

**FOR THE YEAR ENDING**

MONTH	DAY	YEAR
12	31	2001

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

**SWORN STATEMENT**

**IN ACCORDANCE WITH THE REQUIREMENTS OF TITLE 40, ARTICLE 8, SECTION 40-401.01, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS RECEIVED FROM RESIDENTIAL CUSTOMERS DURING CALENDAR YEAR 2001 WAS:**

ARIZONA INTRASTATE GROSS OPERATING REVENUES
\$ 1,168.00

(THE AMOUNT IN BOX AT LEFT  
INCLUDES \$ 82.00  
IN SALES TAXES BILLED, OR COLLECTED

**\*RESIDENTIAL REVENUE REPORTED ON THIS PAGE  
MUST INCLUDE SALES TAXES BILLED.**

X   
SIGNATURE OF OWNER OR OFFICIAL

**SUBSCRIBED AND SWORN TO BEFORE ME**

**A NOTARY PUBLIC IN AND FOR THE COUNTY OF**

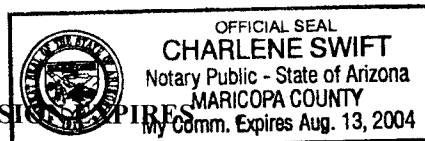
**THIS**

27<sup>th</sup>

**DAY OF**

(SEAL)

**MY COMMISSION**



NOTARY PUBLIC NAME CHARLENE SWIFT	
COUNTY NAME MARICOPA	
MONTH FEBRUARY	2002

X   
SIGNATURE OF NOTARY PUBLIC

# PAYMENTS TO MOHAVE COUNTY FOR PROPERTY TAXES

	CHECK NO.	10-29-01 50660	4-30-01 42417	
	PARCEL			
HOTYE	20315053	380.85	419.36	WATER CO
HOTYE	94650600	90.87	477.52	WATER CO
136	20315039	201.52	253.71	OTHER PROPERTIRS
112	32011127	3,996.10	4,328.04	OTHER PROPERTIRS
132	22216078A	826.66	-	OTHER PROPERTIRS
132	22216077A	5,900.25	6,956.82	OTHER PROPERTIRS
131	30409116	1,155.49	1,081.58	OTHER PROPERTIRS
131	30413067	9.92	9.96	OTHER PROPERTIRS
134	10721005	2,458.11	2,150.51	OTHER PROPERTIRS
		15,019.77	15,677.50	



# WOODY'S

ENTERPRISES, LTD.

580 W. WICKENBURG WAY • (520) 884-7868  
WICKENBURG, ARIZONA 85390

**BANK ONE**  
WICKENBURG, ARIZONA

91-2  
1221

04/30/2001

DATE

42417

CHECK NUMBER

PAY EXACTLY

CHECK AMOUNT

\*\*\*\*\*15,667 Dollars and 87 Cents

\*\*\*15,667.87

20315053,94650600

20318039

32011127

30409116

22216877A

10921005

TO  
THE  
ORDER  
OF

MOHAVE COUNTY TREA  
DORA E. GOODMILLER  
P.O. BOX 712  
KINGMAN, AZ 86402

MAY 01 2001

*7 Parcels*

*2nd 1/2 2000*

WOODY'S ENTERPRISES, LTD.  
ACCOUNTS PAYABLE

*[Signature]*  
AUTHORIZED SIGNATURE

THE BACK OF THIS DOCUMENT CONTAINS AN ARTIFICIAL WATERMARK - HOLD AT AN ANGLE TO VIEW

⑈042417⑈ ⑆122100024⑆

2123⑈4033⑈

⑈0001566787⑈ <sup>5099501</sup>

PHOENIX, AZ 85012

1-800-762-7827

MOHAVE COUNTY TREASURER  
3150105880

709053

KFB TEMPE, AZ 05/07/81  
TRACER 1517 611  
L221-0527-8  
103-150520

701-701

NY 103  
ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 08-01-2001 BY 60322

THE FACE OF THIS DOCUMENT HAS A COLORED BACKGROUND ON WHITE PAPER

**WOODY'S**

ENTERPRISES, LTD.

580 W. WICKENBURG WAY • (928) 684-7868  
WICKENBURG, ARIZONA 85390

**BANK ONE.**  
WICKENBURG, ARIZONA

91-2  
1221

10/29/2001  
DATE

50660  
CHECK NUMBER

PAY EXACTLY

CHECK AMOUNT

\*\*\*\*\*15,019 Dollars and 77 Cents

\*\*\*15,019.77

(9) 10721005

TO  
THE  
ORDER  
OF

MOHAVE COUNTY TREA  
DORA E. GOODMILLER  
P.O. BOX 712  
KINGMAN, AZ 86402

WOODY'S ENTERPRISES, LTD.  
ACCOUNTS PAYABLE

*[Signature]*  
AUTHORIZED SIGNATURE

THE BACK OF THIS DOCUMENT CONTAINS AN ARTIFICIAL WATERMARK - HOLD AT AN ANGLE TO VIEW

⑈050660⑈

⑈122100024⑈

0630⑈7257⑈

⑈0001501977⑈

WELLS FARGO BANK, N.A.  
PHOENIX, AZ 85012  
▲ 122105278 ▲  
FOR DEPOSIT ONLY  
MOHAVE COUNTY TREASURER  
3150105307

0050 1415

WFB TEMPE, AZ 11262001  
TRACER# 3387 011  
▶ 1221-0527-8 ◀

1130848650

1130848650

1130848650

NO 01 27  
122100024  
BANK ONE, NA  
PHOENIX, ARIZONA